

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24200MH1989PLC051018

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCA4555E

(ii) (a) Name of the company

ADVANCED ENZYME TECHNOLOGIES

(b) Registered office address

SUN MAGNECTICA, 5TH FLOOR,
NEAR LIC SERVICE ROAD, LOUIS WADI
THANE
Maharashtra
400604

(c) *e-mail ID of the company

sanjay@advancedenzymes.com

(d) *Telephone number with STD code

02241703220

(e) Website

www.advancedenzymes.com

(iii) Date of Incorporation

15/03/1989

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

12

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ADVANCED BIO-AGRO TECH LI +	U24100MH2004PLC149464	Subsidiary	60
2	ADVANCED ENZYTECH SOLUT +	U24200MH2008PLC186383	Subsidiary	100

3	JC BIOTECH PRIVATE LIMITED	U65993TG1991PTC013624	Subsidiary	70
4	Advanced Enzymes USA		Subsidiary	100
5	Cal-India Foods International		Subsidiary	100
6	Advanced Enzyme Supplement		Subsidiary	100
7	Dynamic Enzymes Inc.		Subsidiary	100
8	Enzyme innovation Inc.		Subsidiary	100
9	Advanced Enzymes (Malaysia)		Subsidiary	100
10	Advanced Enzymes Europe B.V.		Subsidiary	100
11	evoxx technologies GmbH		Subsidiary	100
12	SCITECH SPECIALITIES PRIVATE	U85190MH2007PTC175484	Subsidiary	51

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	175,000,000	111,728,425	111,728,425	111,728,425
Total amount of equity shares (in Rupees)	350,000,000	223,456,850	223,456,850	223,456,850

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	175,000,000	111,728,425	111,728,425	111,728,425
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	350,000,000	223,456,850	223,456,850	223,456,850

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	111,678,475	223,356,950	223,356,950	
Increase during the year	49,950	99,900	99,900	2,897,100
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	49,950	99,900	99,900	2,897,100
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0

iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	111,728,425	223,456,850	223,456,850	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="15/07/2020"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			1,900,340
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			7,146,350
Deposit			0
Total			9,046,690

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

2,834,720,027

(ii) Net worth of the Company

4,351,490,241

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,404,600	2.15	0	
	(ii) Non-resident Indian (NRI)	45,466,760	40.69	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	14,000,876	12.53	0	

10.	Others	0	0	0	
	Total	61,872,236	55.37	0	0

Total number of shareholders (promoters)

17

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	15,495,128	13.87	0	
	(ii) Non-resident Indian (NRI)	3,044,746	2.73	0	
	(iii) Foreign national (other than NRI)	500	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	12	0	0	
6.	Foreign institutional investors	18,754,616	16.79	0	
7.	Mutual funds	8,145,603	7.29	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,970,020	2.66	0	
10.	Others IEPF, Trusts, Alternate Inves +	1,445,564	1.29	0	
	Total	49,856,189	44.63	0	0

Total number of shareholders (other than promoters)

62,372

**Total number of shareholders (Promoters+Public/
Other than promoters)**

62,389

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

59

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
ALASKA PERMANENT +	DEUTSCHE BANK AG DB HOUSE, H +			8,477	0
CITY OF LOS ANGELES +	CITIBANK N.A. CUSTODY SERVICES +			8,985	0
DFA AUSTRALIA LIMIT +	CITIBANK N.A. CUSTODY SERVICES +			4,619	0
EMERGING MARKETS S +	CITIBANK N.A. CUSTODY SERVICES +			16,782	0.01
ISHARES INDIA SC MA +	CITIBANK N.A. CUSTODY SERVICES +			91,761	0.08
ACTIVEALPHA CAPITA +	KOTAK MAHINDRA BANK LIMITED +			5,000	0
EMERGING MARKETS S +	JPMORGAN CHASE BANK N.A. IND +			14,404	0.01
EMIRATES NBD SICAV +	DEUTSCHE BANK AG, DB HOUSE H +			53,544	0.04
NALANDA INDIA EQU +	C/O KOTAK MAHINDRA BANK LIMI +			5,300,644	4.74
OLD WELL EMERGING +	STANDARD CHARTERED BANK Sec +			130,434	0.12
PUB EQUITIES EMERGI +	JPMORGAN CHASE BANK N.A. IND +			17,304	0.02
STATE STREET MSCI EM +	DEUTSCHE BANK AG DB HOUSE, H +			14,733	0.01
T.A. WORLD EX U.S. CC +	CITIBANK N.A. CUSTODY SERVICES +			11,772	0.01
BNP PARIBAS ARBITRA +	BNP PARIBAS HOUSE 1 NORTH AV +			277,000	0.25
ISHARES EMSC MAURI +	CITIBANK N.A. CUSTODY SERVICES +			17,710	0.02
MORGAN STANLEY AS +	CITIBANK N.A. CUSTODY SERVICES +			37,504	0.03
NORTHERN TRUST CO +	CITIBANK N.A. CUSTODY SERVICES +			52,611	0.05
WORLD EX U.S. CORE I +	CITIBANK N.A. CUSTODY SERVICES +			14,719	0.01
DFA INTERNATIONAL +	STANDARD CHARTERED BANK SEC +			4,220	0
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE H +			10,939	0
FIRST PRINCIPLES FUN +	KOTAK MAHINDRA BANK LIMITED +			77,007	0.07
SHELL PENSIONS TRUS +	JPMORGAN CHASE BANK N.A. IND +			5,123	0
STATE STREET MSCI EM +	DEUTSCHE BANK AG DB HOUSE, H +			26,746	0.02
STICHTING SHELL PEN +	JPMORGAN CHASE BANK N.A. IND +			9,622	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, F			16,916	0.02

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	13	17
Members (other than promoters)	53,073	62,372
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	2	0	2	0	35.08
B. Non-Promoter	1	6	1	7	1.63	0
(i) Non-Independent	1	1	1	1	1.63	0
(ii) Independent	0	5	0	6	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	8	1	9	1.63	35.08

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Vasant Rathi	01233447	Director	36,578,702	
Mr. Mukund Kabra	00148294	Whole-time director	1,818,000	
Ms. Rasika Rathi	08300682	Director	2,636,700	
Dr. Sunny Sharma	02267273	Director	0	
Mr. Kedar Desai	00322581	Director	2,000	
Mr. Pramod Kasat	00819790	Director	0	
Mr. Rajesh Sharma	08195715	Director	0	
Mr. Vinodkumar H Jajoc	08224980	Director	0	
Mr. Vilas M Aurangabac	08225986	Director	0	
Ms. Rajshree Patel	08761022	Director	0	
Mr. Beni P Rauka	ABKPR5067M	CFO	161,250	
Mr. Sanjay Basantani	AKHPB9796R	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Dr. Sunny Sharma	02267273	Director	15/07/2020	Change in designation : Appointed as
Ms. Rajshree Patel	08761022	Additional director	12/06/2020	Appointment as Additional Director (I
Ms. Rajshree Patel	08761022	Director	15/07/2020	Change in designation: Appointed as

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	15/07/2020	56,035	76	53.48

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/05/2020	9	9	100
2	12/08/2020	10	10	100
3	12/11/2020	10	10	100
4	26/12/2020	10	10	100
5	12/02/2021	10	9	90
6	27/03/2021	10	9	90

C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	30/05/2020	4	4	100
2	Audit Committee	12/08/2020	4	4	100
3	Audit Committee	12/11/2020	4	4	100
4	Audit Committee	26/12/2020	4	4	100
5	Audit Committee	12/02/2021	4	3	75
6	Audit Committee	27/03/2021	4	3	75
7	Nomination and Remuneration	28/05/2020	4	4	100
8	Nomination and Remuneration	11/08/2020	4	4	100
9	Nomination and Remuneration	27/03/2021	4	4	100
10	Stakeholders' Meeting	12/11/2020	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Mr. Vasant Ra	6	6	100	11	11	100	
2	Mr. Mukund K	6	6	100	5	5	100	
3	Ms. Rasika Ra	6	6	100	1	1	100	
4	Dr. Sunny Sha	6	6	100	0	0	0	
5	Mr. Kedar Des	6	6	100	13	13	100	
6	Mr. Pramod K	6	6	100	9	9	100	
7	Mr. Rajesh Sh	6	4	66.67	9	7	77.78	
8	Mr. Vinodkum	6	6	100	3	3	100	
9	Mr. Vilas M Au	6	6	100	1	1	100	
10	Ms.Rajshree F	5	5	100	1	1	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Mukund Kabra	Whole-Time Director	16,516,678	2,877,863	0	0	19,394,541
	Total		16,516,678	2,877,863	0	0	19,394,541

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Beni P Rauka	Chief Financial Officer	9,655,716	0	0	0	9,655,716
2	Mr. Sanjay Basanta	Company Secretary	2,849,738	0	0	0	2,849,738
	Total		12,505,454	0	0	0	12,505,454

Number of other directors whose remuneration details to be entered

9

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ms. Rasika Rathi	Non-Executive Dir	0	485,508	0	0	485,508
2	Mr. Kedar Desai	Independent Dir	0	1,387,165	0	460,000	1,847,165
3	Mr. Pramod Kasat	Independent Dir	0	1,109,732	0	380,000	1,489,732
4	Mr. Rajesh Sharma	Independent Dir	0	762,941	0	260,000	1,022,941
5	Mr. Vinodkumar H J	Independent Dir	0	693,582	0	260,000	953,582
6	Mr. Vilas M Auranga	Independent Dir	0	554,866	0	220,000	774,866
7	Dr. Sunny Sharma	Non Executive Dir	0	416,150	0	0	416,150
8	Ms. Rajshree Patel	Independent Dir	0	485,508	0	190,000	675,508
9	Mr. Vasant Rathi	Non-Executive Dir	0	1,179,090	0	0	1,179,090
	Total		0	7,074,542	0	1,770,000	8,844,542

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SHIV HARI JALAN

Whether associate or fellow

Associate Fellow

Certificate of practice number

4226

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

00148294

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

19637

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Committee Meetings

Sr. No	Type of Meeting	Date of Meeting	Total Number of Members as on the date of Meeting	Attendance	
				Members Attended	% of attendance
11	Stakeholders' Relationship Committee	12/02/2021	3	3	100
12	Corporate Social Responsibility Committee	28/05/2020	3	3	100
13	Corporate Social Responsibility Committee	11/02/2021	4	4	100
14	Risk Management Committee	07/10/2020	6*	6	100

*Risk management Committee consists of Total 6 members out of which 4 are Directors and 2 members other than Directors which includes CFO and CS of the Company.

Details of Foreign institutional investors' (FIIs) holding shares of the company

Sr. No	Name Of FII/FPI	Address	Date of Incorporation	Country Of Incorporation	No. of Shares held	% of shares held
26	BNS ASIA LIMITED	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI			10972	0.0098
27	BVK PERSONALVORSORGE DES KANTONS ZURICH	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			13342	0.0119
28	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			152	0.0001
29	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			103955	0.0930
30	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI			1381	0.0012
31	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			180273	0.1613
32	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			91085	0.0815

	VANGUARD INTERNATIONAL EQUITY INDEX FUNDS					
33	ABDULMUHSIN SUHNI	KARAAGAC MAH.TOM.CAD. ASILKENT SITESI NO.22 Z IC KAPI NO. Z, ADDRESS NO.1692127937 ARSUZ, HATAY, TURKEY			500	0.0004
34	ALLIANZ GLOBAL INVESTORS FUND- ALLIANZ BEST STYLES EMERGING MARKETS EQUITY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			35464	0.0317
35	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			2365	0.0021
36	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			37587	0.0336
37	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			7076	0.0063
38	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			25103	0.0225
39	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			150172	0.1344
40	EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH			4364	0.0039

	TARGETED VALUE PORTFOLIO	FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI				
41	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			12090	0.0108
42	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			13403	0.0120
43	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			85418	0.0765
44	ARK GLOBAL EMERGING COMPANIES, LP	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			28500	0.0255
45	CC&L Q EMERGING MARKETS EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI			991	0.0009
46	CITY OF NEW YORK GROUP TRUST	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			40312	0.0361
47	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI			92178	0.0825
48	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			17436	0.0156
49	ORBIMED ASIA III MAURITIUS LIMITED	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA			11053245	9.8930

		- EAST, MUMBAI				
50	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			6118	0.0055
51	DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			26799	0.0240
52	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			75148	0.0673
53	GOLDMAN SACHS (SINGAPORE) PTE. - ODI	STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G ROAD FORT, MUMBAI, INDIA			41255	0.0369
54	GYMKHANA PARTNERS L.P.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			291000	0.2605
55	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			15316	0.0137
56	SPDR MSCI ACWI IMI ETF	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			4389	0.0039
57	UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			2292	0.0021
58	WISDOMTREE INDIA INVESTMENT	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA			50068	0.0448

	PORTFOLIO, INC.	- EAST, MUMBAI				
59	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			6791	0.0061

Note for field nos. VIII(B)(i)

In the afore stated field, the number of shares held by the Mr. Vasant Rathi (Promoter) includes 75,00,000 equity shares held by Mr. Vasant Rathi on behalf of Vasant and Prabha Rathi Generation Trust and shown/clubbed basis PAN of Mr. Vasant Rathi.

Combined notes for field nos. VI, VII and IX(A)

In the afore stated fields, the total number of shareholders mentioned is clubbed based on PAN.

Notes to field no. VI(C)

Since the form accepts information of maximum 25 FIIs, remaining list of FIIs as mentioned in Point Number VI(C) of the Annual Return is annexed as an attachment to the eform

Notes to field no. IX A:

As mentioned the Annual report on CSR activities forming part of Annual Report FY21, the amount transferred to Unspent CSR bank account was on May 05, 2021 instead of April 30, 2021, primarily due to the ongoing pandemic, restrictions by the State local authorities, logistical challenges and delay from the Bank, the said account was activated by the Bank on May 04, 2021 and thereafter, the Unspent CSR funds earmarked could be credited to the said separate Bank Account.

Notes to field no. X:

During February 2017, the Company has granted 12,500 Options to Mr. Mukund Kabra (Whole-time Director), as per the provisions of Employees Stock Option Scheme 2015 (as amended) of the Company.

Out of the total Options granted the following are sequential details of vesting:

Sr. No.	Date of Vesting	% Options Vested out of Total Grant
1.	February 15, 2018	10% (i.e. 1,250 Options)
2.	February 15, 2019	20% (i.e. 2,500 Options)
3.	February 15, 2020	30% (i.e. 3,750 Options)
4.	February 15, 2021	40% (i.e. 5,000 Options)

During the year under review, Mr. Mukund Kabra has exercised 6,250 Stock Options at the exercise price of Rs. 60/- per Option as per the provisions of AETL Employee Stock Option Scheme, 2015. Based on this, 6,250 Equity Shares were allotted to Mr. Kabra on November 12, 2020. Further, post March 31, 2021, Mr. Kabra has also exercise remaining 5,000 Options at the said exercise price and 5,000 Equity Shares were allotted by the Board on May 29, 2021.

Draft
Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **ADVANCED ENZYME TECHNOLOGIES LIMITED** (“the Company”) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within and/or/ beyond the prescribed time;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. closure of Register of Members;
 6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
 7. contracts/arrangements with related parties as specified in section 188 of the Act;
 8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
 9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;

10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company.

Place: Mumbai
Date:
UDIN:

For Shiv Hari Jalan & Co.
Company Secretaries
FRN: S2016MH382700

(Shiv Hari Jalan)
Proprietor
FCS No: 5703
C.P.NO: 4226