

Advanced Enzyme Technologies Ltd.

CIN: L24200MH1989PLC051018

Sun Magnetica, 'A' wing, 5th Floor, LIC Service Road, Louiswadi, Thane (W)-400 604, India

Tel: +91-22-4170 3200, Fax: +91-22-2583 5159

Email: info@advancedenzymes.com, www.advancedenzymes.com

Date : May 24, 2022

To

BSE Limited

P. J. Towers,

Dalal Street,

Mumbai- 400 001

Scrip Code-540025

National Stock Exchange of India Ltd.

Exchange Plaza, Plot No. C/1, G Block

Bandra-Kurla Complex, Bandra (E)

Mumbai- 400 051

Trading Symbol-ADVENZYMES

Dear Sir,

Subject: Annual Secretarial Compliance Report for the year ended March 31, 2022

Ref: ISIN: INE837H01020

In compliance with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, enclosed is copy of Annual Secretarial Compliance Report of the Company for the year ended March 31, 2022 issued by Mr. Shiv Hari Jalan, Practising Company Secretary and Secretarial Auditor of the Company.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Advanced Enzyme Technologies Limited

Sanjay Basantani

Company Secretary and Head-Legal

Encl: As above



Shiv Hari Jalan & Co.
Company Secretaries

Shiv Hari Jalan
B.Com, F.C.A; F.C.S

Secretarial compliance report

of

Advanced Enzyme Technologies Limited

for the year ended 31st March, 2022

(Pursuant to circular CIR/CFD/CMD1/27/2019 dated 08th February, 2019

To,
The Members of
Advanced Enzyme Technologies Limited
Sun Magnetica, 5th Floor,
Near LIC Service Road,
Louis Wadi, Thane- 400604

I, Shiv Hari Jalan, Proprietor of Shiv Hari Jalan & Co., have examined:

- (a) all the documents and records made available to me and explanation provided by Advanced Enzyme Technologies Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,



for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:

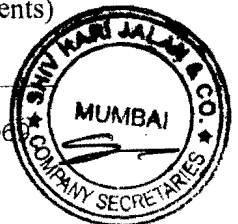
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the company during the review period)

Head Office:. 1055, Level 10, Hubtown Solaris, N.S.Phadke Marg, Andheri (East), Mumbai - 400068
Branch Office:. 104, Mahavir Building, 44/46, Kalbadevi Road, Mumbai - 400002

 : shivharijalan@cs@gmail.com,  : (022) 22075834, 22075835, 26836215, 9869035834



- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable during the period under review)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; and Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (f) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; and The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; (Not applicable to the company during the review period)
- (g) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013; and The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; (Not applicable to the company during the review period)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

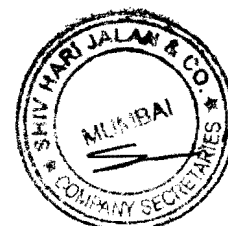
and applicable Regulation and circulars / guidelines issued thereunder.

and based on the above examination, considering the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to the spread of the COVID-19 pandemic, I hereby report that, during the Review:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
-----NIL-----			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.



- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
-----NIL-----				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	The Company was required to have a Woman Independent Director with effect from April 01, 2020. The Company had appointed Ms. Rajshree Patel as a Woman Independent Director on the Board of the Company with effect from June 12, 2020. The Board, at its meeting held on March 28, 2020 approved the appointment of Ms. Rajshree Patel as an Additional Director (Independent Woman Director) of the Company, with effect from the date of her obtaining Director Identification Number ("DIN"). However due to the ongoing lockdown to prevent further spread of Coronavirus (COVID-19) pandemic, the Digital Signature ("DSC") which is mandatory to obtain a DIN could not be obtained intime. The DIN was allotted to Ms. Rajshree Patel on June 12, 2020 and hence, as per the approval of the Board at its meeting held on March 28, 2020, Ms. Rajshree	31.03.2021	Not applicable as the Company has already made the compliance in the financial year ended 31.03.2021.	Not applicable as the Company has already made the compliance in the financial year ended 31.03.2021



<p>Patel became an Additional Director (Woman Independent) of the Company w.e.f June 12, 2020. The BSE Limited vide their email dated 20.08.2020 and The National Stock Exchange of India Limited (NSE) vide their letter (NSE/LIST-SOP/CG/FINES/101661) dated 20.08.2020 have levied penalty of Rs. 5,000/- per day from April 01, 2020 till June 11, 2020 i.e. Rs. 3,60,000/-, excluding GST. Consequently the Company had made an application on August 25, 2020 for waiver of said penalty to both the Stock Exchanges. The BSE Limited vide their email dated 19.05.2021 and The National Stock Exchange of India Limited (NSE) vide their letter (Ref. No. NSE/LIST/SOP/ ADVENZYMES) dated 08.02.2021 based on the details of the case, considered the application of the Company favourably and waived the fine levied.</p>			
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Place: Mumbai

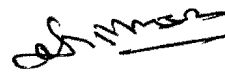
Date: 20.05.2022

UDIN: F005703D000352137

For Shiv Hari Jalan & Co.

Company Secretaries

FRN: S2016MH382700



(Shiv Hari Jalan)

Proprietor

FCS No: 5703

C.P.NO: 4226

PR No. 1576/2021

