

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L24200MH1989PLC051018

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCA4555E

(ii) (a) Name of the company

ADVANCED ENZYME TECHNOLOGIES

(b) Registered office address

SUN MAGNETICA, 5TH FLOOR,  
NEAR LIC SERVICE ROAD, LOUIS WADI  
THANE  
Maharashtra  
400604

(c) \*e-mail ID of the company

sanjay@advancedenzymes.com

(d) \*Telephone number with STD code

02241703220

(e) Website

www.advancedenzymes.com

(iii) Date of Incorporation

15/03/1989

| (iv) | Type of the Company | Category of the Company   | Sub-category of the Company   |
|------|---------------------|---------------------------|-------------------------------|
|      | Public Company      | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

| S. No. | Stock Exchange Name                      | Code  |
|--------|--|-------|
| 1      | BSE Limited                              | 1     |
| 2      | National Stock Exchange of India Limited | 1,024 |

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2022

(c) Whether any extension for AGM granted

☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity  | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|---|------------------------------|
| 1    | C                        | Manufacturing                      | C6                     | Chemical and chemical products, pharmaceuticals, medicinal chemical and | 100                          |

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

12

Pre-fill All

| S.No | Name of the company       | CIN / FCRN            | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|---------------------------|-----------------------|--|------------------|
| 1    | ADVANCED BIO-AGRO TECH LI | U24100MH2004PLC149464 | Subsidiary                                   | 60               |
| 2    | ADVANCED ENZYTECH SOLUT   | U24200MH2008PLC186383 | Subsidiary                                   | 100              |

|    |                                   |                       |            |     |
|----|-----------------------------------|-----------------------|------------|-----|
| 3  | JC BIOTECH PRIVATE LIMITED        | U65993TG1991PTC013624 | Subsidiary | 85  |
| 4  | Advanced Enzymes USA              |                       | Subsidiary | 100 |
| 5  | Cal-India Foods International     |                       | Subsidiary | 100 |
| 6  | Advanced Enzyme Supplemen<br>+    |                       | Subsidiary | 100 |
| 7  | Dynamic Enzymes Inc.              |                       | Subsidiary | 100 |
| 8  | Enzyme innovation Inc.            |                       | Subsidiary | 100 |
| 9  | Advanced Enzymes (Malaysia)<br>+  |                       | Subsidiary | 100 |
| 10 | Advanced Enzymes Europe B.V.<br>+ |                       | Subsidiary | 100 |
| 11 | Evoxx technologies GmbH           |                       | Subsidiary | 100 |
| 12 | SCITECH SPECIALITIES PRIVATE<br>+ |                       | Subsidiary | 51  |

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

| Particulars                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares             | 175,000,000        | 111,800,675    | 111,800,675        | 111,800,675     |
| Total amount of equity shares (in Rupees) | 350,000,000        | 223,601,350    | 223,601,350        | 223,601,350     |

Number of classes

1

| Class of Shares                           | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
|   |                    |                |                    |                 |
| Number of equity shares                   | 175,000,000        | 111,800,675    | 111,800,675        | 111,800,675     |
| Nominal value per share (in rupees)       | 2                  | 2              | 2                  | 2               |
| Total amount of equity shares (in rupees) | 350,000,000        | 223,601,350    | 223,601,350        | 223,601,350     |

###### (b) Preference share capital

| Particulars                                   | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares             | 0                  | 0              | 0                  | 0               |
| Total amount of preference shares (in rupees) | 0                  | 0              | 0                  | 0               |

Number of classes

0

| Class of shares                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
|   |                    |                |                    |                 |
| Number of preference shares                   |                    |                |                    |                 |
| Nominal value per share (in rupees)           |                    |                |                    |                 |
| Total amount of preference shares (in rupees) |                    |                |                    |                 |

**(c) Unclassified share capital**

| Particulars                         | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0                  |

**(d) Break-up of paid-up share capital**

| Class of shares                               | Number of shares |             |           | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|-------------|-----------|----------------------|----------------------|---------------|
| <b>Equity shares</b>                          | Physical         | DEMAT       | Total     |                      |                      |               |
| <b>At the beginning of the year</b>           | 498,715          | 111,229,710 | 111728425 | 223,456,850          | 223,456,850          |               |
| <b>Increase during the year</b>               | 0                | 215,450     | 215450    | 144,500              | 144,500              | 4,190,500     |
| i. Public Issues                              | 0                | 0           | 0         | 0                    | 0                    | 0             |
| ii. Rights issue                              | 0                | 0           | 0         | 0                    | 0                    | 0             |
| iii. Bonus issue                              | 0                | 0           | 0         | 0                    | 0                    |               |
| iv. Private Placement/ Preferential allotment | 0                | 0           | 0         | 0                    | 0                    | 0             |
| v. ESOPs                                      | 0                | 72,250      | 72250     | 144,500              | 144,500              | 4,190,500     |
| vi. Sweat equity shares allotted              | 0                | 0           | 0         | 0                    | 0                    |               |
| vii. Conversion of Preference share           | 0                | 0           | 0         | 0                    | 0                    | 0             |
| viii. Conversion of Debentures                | 0                | 0           | 0         | 0                    | 0                    | 0             |
| ix. GDRs/ADRs                                 | 0                | 0           | 0         | 0                    | 0                    | 0             |
| x. Others, specify                            |                  | 143,200     | 143200    |                      |                      |               |
| <b>Decrease during the year</b>               | 143,200          | 0           | 143200    | 0                    | 0                    | 0             |
| i. Buy-back of shares                         | 0                | 0           | 0         | 0                    | 0                    | 0             |
| ii. Shares forfeited                          | 0                | 0           | 0         | 0                    | 0                    | 0             |

|  |         |             |           |             |             |   |
|--|---------|-------------|-----------|-------------|-------------|---|
| iii. Reduction of share capital                    | 0       | 0           | 0         | 0           | 0           | 0 |
| iv. Others, specify                                | 143,200 |             | 143200    |             |             |   |
| Decrease due to conversion of Physical Shares to I |         |             |           |             |             |   |
| <b>At the end of the year</b>                      | 355,515 | 111,445,160 | 111800675 | 223,601,350 | 223,601,350 |   |
| <b>Preference shares</b>                           |         |             |           |             |             |   |
| <b>At the beginning of the year</b>                | 0       | 0           | 0         | 0           | 0           |   |
| <b>Increase during the year</b>                    | 0       | 0           | 0         | 0           | 0           | 0 |
| i. Issues of shares                                | 0       | 0           | 0         | 0           | 0           | 0 |
| ii. Re-issue of forfeited shares                   | 0       | 0           | 0         | 0           | 0           | 0 |
| iii. Others, specify                               |         |             |           |             |             |   |
|  |         |             |           |             |             |   |
| <b>Decrease during the year</b>                    | 0       | 0           | 0         | 0           | 0           | 0 |
| i. Redemption of shares                            | 0       | 0           | 0         | 0           | 0           | 0 |
| ii. Shares forfeited                               | 0       | 0           | 0         | 0           | 0           | 0 |
| iii. Reduction of share capital                    | 0       | 0           | 0         | 0           | 0           | 0 |
| iv. Others, specify                                |         |             |           |             |             |   |
|  |         |             |           |             |             |   |
| <b>At the end of the year</b>                      | 0       | 0           | 0         | 0           | 0           |   |

ISIN of the equity shares of the company

INE837H01020

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares              |                      | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |
| After split / Consolidation  | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☒ No

☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

|   |   |
|---|---|
| Date of the previous annual general meeting | <input type="text" value="08/09/2021"/> |
|---|---|

|  |                      |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

|                  |                      |  |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

|  |                      |  |                      |
|--|----------------------|--|----------------------|
| Number of Shares/ Debentures/<br>Units Transferred | <input type="text"/> | Amount per Share/<br>Debenture/Unit (in Rs.) | <input type="text"/> |
|--|----------------------|--|----------------------|

|                            |                      |
|----------------------------|----------------------|
| Ledger Folio of Transferor | <input type="text"/> |
|----------------------------|----------------------|

|                   |                      |                      |                      |
|-------------------|----------------------|----------------------|----------------------|
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|                   | Surname              | middle name          | first name           |

|                            |                      |
|----------------------------|----------------------|
| Ledger Folio of Transferee | <input type="text"/> |
|----------------------------|----------------------|

|                   |                      |                      |                      |
|-------------------|----------------------|----------------------|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|                   | Surname              | middle name          | first name           |

|  |                      |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

|                  |                      |  |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

|  |                      |  |                      |
|--|----------------------|--|----------------------|
| Number of Shares/ Debentures/<br>Units Transferred | <input type="text"/> | Amount per Share/<br>Debenture/Unit (in Rs.) | <input type="text"/> |
|--|----------------------|--|----------------------|

|                            |         |  |             |  |            |  |  |
|----------------------------|---------|--|-------------|--|------------|--|--|
| Ledger Folio of Transferor |         |  |             |  |            |  |  |
| Transferor's Name          |         |  |             |  |            |  |  |
|                            | Surname |  | middle name |  | first name |  |  |
| Ledger Folio of Transferee |         |  |             |  |            |  |  |
| Transferee's Name          |         |  |             |  |            |  |  |
|                            | Surname |  | middle name |  | first name |  |  |

**(iv) \*Debentures (Outstanding as at the end of financial year)**

| Particulars                   | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures    | 0               | 0                      | 0           |
| Partly convertible debentures | 0               | 0                      | 0           |
| Fully convertible debentures  | 0               | 0                      | 0           |
| <b>Total</b>                  |                 |                        | 0           |

**Details of debentures**

| Class of debentures                  | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|--------------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| <b>Non-convertible debentures</b>    | 0   | 0                        | 0                        | 0                                     |
| <b>Partly convertible debentures</b> | 0   | 0                        | 0                        | 0                                     |
| <b>Fully convertible debentures</b>  | 0   | 0                        | 0                        | 0                                     |

**(v) Securities (other than shares and debentures)**

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
|                    |                      |                            |                     |                            |                     |
|                    |                      |                            |                     |                            |                     |
| <b>Total</b>       |                      |                            |                     |                            |                     |

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

2,727,977,877

**(ii) Net worth of the Company**

4,800,548,184

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

| S. No. | Category                                | Equity           |            | Preference       |            |
|--------|---|------------------|------------|------------------|------------|
|        |   | Number of shares | Percentage | Number of shares | Percentage |
| 1.     | Individual/Hindu Undivided Family       |                  |            |                  |            |
|        | (i) Indian                              | 2,994,628        | 2.68       | 0                |            |
|        | (ii) Non-resident Indian (NRI)          | 45,341,760       | 40.56      | 0                |            |
|        | (iii) Foreign national (other than NRI) | 0                | 0          | 0                |            |
| 2.     | Government                              |                  |            |                  |            |
|        | (i) Central Government                  | 0                | 0          | 0                |            |
|        | (ii) State Government                   | 0                | 0          | 0                |            |
|        | (iii) Government companies              | 0                | 0          | 0                |            |
| 3.     | Insurance companies                     | 0                | 0          | 0                |            |
| 4.     | Banks                                   | 0                | 0          | 0                |            |
| 5.     | Financial institutions                  | 0                | 0          | 0                |            |
| 6.     | Foreign institutional investors         | 0                | 0          | 0                |            |
| 7.     | Mutual funds                            | 0                | 0          | 0                |            |
| 8.     | Venture capital                         | 0                | 0          | 0                |            |
| 9.     | Body corporate (not mentioned above)    | 10,585,344       | 9.47       | 0                |            |
| 10.    | Others                                  | 0                | 0          | 0                |            |
|        | <b>Total</b>                            | 58,921,732       | 52.71      | 0                | 0          |

**Total number of shareholders (promoters)**

26

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

| S. No. | Category | Equity           |            | Preference       |            |
|--------|----------|------------------|------------|------------------|------------|
|        |          | Number of shares | Percentage | Number of shares | Percentage |



|     |   |            |       |   |   |
|-----|---|------------|-------|---|---|
| 1.  | Individual/Hindu Undivided Family                 |            |       |   |   |
|     | (i) Indian  | 17,382,425 | 15.55 | 0 |   |
|     | (ii) Non-resident Indian (NRI)                    | 3,147,101  | 2.81  | 0 |   |
|     | (iii) Foreign national (other than NRI)           | 500        | 0     | 0 |   |
| 2.  | Government  |            |       |   |   |
|     | (i) Central Government                            | 0          | 0     | 0 |   |
|     | (ii) State Government                             | 0          | 0     | 0 |   |
|     | (iii) Government companies                        | 0          | 0     | 0 |   |
| 3.  | Insurance companies                               | 0          | 0     | 0 |   |
| 4.  | Banks   | 0          | 0     | 0 |   |
| 5.  | Financial institutions                            | 0          | 0     | 0 |   |
| 6.  | Foreign institutional investors                   | 20,136,952 | 18.01 | 0 |   |
| 7.  | Mutual funds                                      | 7,217,529  | 6.46  | 0 |   |
| 8.  | Venture capital                                   | 0          | 0     | 0 |   |
| 9.  | Body corporate<br>(not mentioned above)           | 3,012,398  | 2.69  | 0 |   |
| 10. | Others IEPF, Trusts, Alternate Inves <sup>+</sup> | 1,982,038  | 1.77  | 0 |   |
|     | <b>Total</b>                                      | 52,878,943 | 47.29 | 0 | 0 |

**Total number of shareholders (other than promoters)**

86,548

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

86,574

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

54

| Name of the FII                | Address                                      | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|--------------------------------|--|-----------------------|--------------------------|-----------------------|------------------|
| ALASKA PERMANENT <sup>+</sup>  | DEUTSCHE BANK AG DB HOUSE, H <sup>+</sup>    |                       |                          | 8,477                 | 0                |
| EMERGING MARKETS <sup>+</sup>  | JPMORGAN CHASE BANK N.A. IND <sup>+</sup>    |                       |                          | 150,172               | 0.13             |
| NALANDA INDIA EQU <sup>+</sup> | C/O KOTAK MAHINDRA BANK LIM <sup>+</sup>     |                       |                          | 6,828,047             | 6.11             |
| SPDR S&P EMERG <sup>+</sup>    | HSBC SECURITIES SERVICES 11TH F <sup>+</sup> |                       |                          | 29,208                | 0.03             |

| Name of the FII      | Address                         | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|----------------------|---------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| STATE STREET MSCI EM | HSBC SECURITIES SERVICES 11TH F |                       |                          | 26,746                | 0.02             |
| AMERICAN CENTURY F   | DEUTSCHE BANK AG, DB HOUSE, F   |                       |                          | 16,916                | 0.02             |
| COPTHALL MAURITIUS   | JPMORGAN CHASE BANK N.A. IND    |                       |                          | 2,056                 | 0                |
| DIMENSIONAL WORLD    | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 15,554                | 0.01             |
| EMERGING MARKETS (   | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 113,611               | 0.1              |
| EMERGING MARKETS S   | JPMORGAN CHASE BANK N.A. IND    |                       |                          | 9,450                 | 0.01             |
| FLORIDA RETIREMENT   | DEUTSCHE BANK AG, DB HOUSE H    |                       |                          | 25,191                | 0.02             |
| ISHARES INDIA SC MA  | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 70,536                | 0.06             |
| PUBLIC EMPLOYEES RI  | HSBC SECURITIES SERVICES 11TH F |                       |                          | 1,381                 | 0                |
| SPDR MSCI ACWI IMI E | HSBC SECURITIES SERVICES 11TH F |                       |                          | 15,472                | 0.01             |
| UTAH STATE RETIREM   | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 2,292                 | 0                |
| DFA INTERNATIONAL    | STANDARD CHARTERED BANK SEC     |                       |                          | 4,220                 | 0                |
| ORBIMED ASIA III MAU | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 11,053,245            | 9.89             |
| SSGA SPDR ETFs EURC  | DEUTSCHE BANK AG, DB HOUSE H    |                       |                          | 6,118                 | 0.01             |
| STATE STREET GLOBAL  | HSBC SECURITIES SERVICES 11TH F |                       |                          | 85,418                | 0.08             |
| CITY OF NEW YORK GF  | HSBC SECURITIES SERVICES 11TH F |                       |                          | 62,074                | 0.06             |
| EMERGING MARKETS F   | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 2,176                 | 0                |
| EMERGING MARKETS S   | JPMORGAN CHASE BANK N.A. IND    |                       |                          | 92,178                | 0.08             |
| SRI SILVERDALE OPPO  | C/O VISTRA (MAURITIUS) LIMITED  |                       |                          | 10,000                | 0.01             |
| EMERGING MARKETS T   | DEUTSCHE BANK AG, DB HOUSE H    |                       |                          | 10,939                | 0.01             |
| EMERGING MARKETS T   | CITIBANK N.A. CUSTODY SERVICES  |                       |                          | 4,364                 | 0                |

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

| Details                           | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters                         | 17                           | 26                     |
| Members<br>(other than promoters) | 62,372                       | 86,548                 |
| Debenture holders                 | 0                            | 0                      |

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

| Category                                 | Number of directors at the beginning of the year |               | Number of directors at the end of the year |               | Percentage of shares held by directors as at the end of year |               |
|--|--|---------------|--|---------------|--|---------------|
|  | Executive  | Non-executive | Executive                                  | Non-executive | Executive  | Non-executive |
| <b>A. Promoter</b>                       | 0  | 2             | 0  | 2             | 0  | 35.01         |
| <b>B. Non-Promoter</b>                   | 1  | 7             | 1  | 6             | 1.63   | 0             |
| (i) Non-Independent                      | 1  | 1             | 1  | 1             | 1.63   | 0             |
| (ii) Independent                         | 0  | 6             | 0  | 5             | 0  | 0             |
| <b>C. Nominee Directors representing</b> | 0  | 0             | 0  | 0             | 0  | 0             |
| (i) Banks & FIs                          | 0  | 0             | 0  | 0             | 0  | 0             |
| (ii) Investing institutions              | 0  | 0             | 0  | 0             | 0  | 0             |
| (iii) Government                         | 0  | 0             | 0  | 0             | 0  | 0             |
| (iv) Small share holders                 | 0  | 0             | 0  | 0             | 0  | 0             |
| (v) Others                               | 0  | 0             | 0  | 0             | 0  | 0             |
| <b>Total</b>                             | <b>1</b>   | <b>9</b>      | <b>1</b>                                   | <b>8</b>      | <b>1.63</b>  | <b>35.01</b>  |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

| Name             | DIN/PAN  | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|------------------|----------|-------------|--------------------------------|--|
| Mr. Vasant Rathi | 01233447 | Director    | 36,503,702                     |  |

| Name                   | DIN/PAN    | Designation      | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|------------------------|------------|------------------|--------------------------------|--|
| Mr. Mukund Kabra       | 00148294   | Director         | 1,823,000                      |  |
| Ms. Rasika Rath        | 08300682   | Director         | 2,636,700                      |  |
| Dr. Sunny Sharma       | 02267273   | Director         | 0                              |  |
| Mr. Kedar Desai        | 00322581   | Director         | 2,000                          |  |
| Mr. Pramod Kasat       | 00819790   | Director         | 0                              |  |
| Mr. Rajesh Sharma      | 08195715   | Director         | 0                              |  |
| Mr. Vinodkumar H Jajoc | 08224980   | Director         | 0                              |  |
| Ms. Rajshree Patel     | 08761022   | Director         | 0                              |  |
| Mr. Beni P Rauka       | ABKPR5067M | CFO              | 161,250                        |  |
| Mr. Sanjay Basantani   | AKHPB9796R | Company Secretar | 0                              |  |

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

1

| Name                   | DIN/PAN  | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|------------------------|----------|--|---|--|
| Mr. Vilas M Aurangabac | 08225986 | Director   | 27/01/2022  | Cessation  |

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

| Type of meeting        | Date of meeting | Total Number of Members entitled to attend meeting | Attendance                 |                         |
|------------------------|-----------------|--|----------------------------|-------------------------|
|                        |                 |  | Number of members attended | % of total shareholding |
| Annual General Meeting | 08/09/2021      | 88,033   | 87                         | 37.13                   |

**B. BOARD MEETINGS**

\*Number of meetings held

5

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|--------|-----------------|--|------------------------------|-----------------|
|        |                 |  | Number of directors attended | % of attendance |

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|--------|-----------------|--|------------------------------|-----------------|
|        |                 |  | Number of directors attended | % of attendance |
| 1      | 29/05/2021      | 10   | 10                           | 100             |
| 2      | 07/08/2021      | 10   | 8                            | 80              |
| 3      | 02/11/2021      | 10   | 8                            | 80              |
| 4      | 05/02/2022      | 9  | 8                            | 88.89           |
| 5      | 30/03/2022      | 9  | 9                            | 100             |

### C. COMMITTEE MEETINGS

Number of meetings held

11

| S. No. | Type of meeting                             | Date of meeting | Total Number of Members as on the date of the meeting | Attendance                 |                 |
|--------|---|-----------------|---|----------------------------|-----------------|
|        |   |                 |   | Number of members attended | % of attendance |
| 1      | Audit Committee                             | 29/05/2021      | 4   | 4                          | 100             |
| 2      | Audit Committee                             | 07/08/2021      | 4   | 3                          | 75              |
| 3      | Audit Committee                             | 02/11/2021      | 4   | 3                          | 75              |
| 4      | Audit Committee                             | 05/02/2022      | 4   | 3                          | 75              |
| 5      | Audit Committee                             | 30/03/2022      | 4   | 4                          | 100             |
| 6      | Nomination and Remuneration Committee       | 29/05/2021      | 4   | 4                          | 100             |
| 7      | Nomination and Remuneration Committee       | 30/03/2022      | 4   | 4                          | 100             |
| 8      | Corporate Social Responsibility Committee   | 29/05/2021      | 4   | 4                          | 100             |
| 9      | Stakeholders' Grievance Redressal Committee | 02/11/2021      | 3   | 3                          | 100             |
| 10     | Risk Management Committee                   | 30/07/2021      | 6   | 5                          | 83.33           |

### D. \* ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings   |                             |                 | Committee Meetings                                       |                             |                 | Whether attended AGM held on |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|------------------------------|
|        |                      | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance |                              |
|        |                      |  |                             |                 |  |                             |                 | (Y/N/NA)                     |
| 1      | Mr. Vasant Ramesh    | 5  | 5                           | 100             | 8  | 8                           | 100             |                              |

|   |               |   |   |     |   |   |       |  |
|---|---------------|---|---|-----|---|---|-------|--|
| 2 | Mr. Mukund K  | 5 | 5 | 100 | 4 | 4 | 100   |  |
| 3 | Ms. Rasika Ra | 5 | 5 | 100 | 2 | 0 | 0     |  |
| 4 | Dr. Sunny Sha | 5 | 5 | 100 | 0 | 0 | 0     |  |
| 5 | Mr. Kedar Des | 5 | 5 | 100 | 9 | 9 | 100   |  |
| 6 | Mr. Pramod Ka | 5 | 5 | 100 | 7 | 7 | 100   |  |
| 7 | Mr. Rajesh Sh | 5 | 2 | 40  | 7 | 4 | 57.14 |  |
| 8 | Mr. Vinodkuma | 5 | 5 | 100 | 3 | 3 | 100   |  |
| 9 | Ms.Rajshree F | 5 | 4 | 80  | 1 | 1 | 100   |  |

#### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

| S. No. | Name             | Designation     | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others | Total<br>Amount |
|--------|------------------|-----------------|--------------|------------|-------------------------------|--------|-----------------|
| 1      | Mr. Mukund Kabra | Whole-Time Dire | 19,331,469   | 3,537,271  | 1,470,500                     | 0      | 24,339,240      |
|        | Total            |                 | 19,331,469   | 3,537,271  | 1,470,500                     | 0      | 24,339,240      |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

| S. No. | Name               | Designation       | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others | Total<br>Amount |
|--------|--------------------|-------------------|--------------|------------|-------------------------------|--------|-----------------|
| 1      | Mr. Beni P Rauka   | Chief Financial C | 11,237,799   | 0          | 0                             | 0      | 11,237,799      |
| 2      | Mr. Sanjay Basanta | Company Secre     | 3,492,036    | 0          | 0                             | 0      | 3,492,036       |
|        | Total              |                   | 14,729,835   | 0          | 0                             | 0      | 14,729,835      |

Number of other directors whose remuneration details to be entered

9

| S. No. | Name              | Designation     | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others  | Total<br>Amount |
|--------|-------------------|-----------------|--------------|------------|-------------------------------|---------|-----------------|
| 1      | Mr.Vasant Rathi   | Non-Executive D | 0            | 941,305    | 0                             | 0       | 941,305         |
| 2      | Ms. Rasika Rathi  | Non-Executive D | 0            | 362,040    | 0                             | 0       | 362,040         |
| 3      | Mr. Kedar Desai   | Independent Dir | 0            | 1,086,121  | 0                             | 405,000 | 1,491,121       |
| 4      | Mr. Pramod Kasat  | Independent Dir | 0            | 941,305    | 0                             | 360,000 | 1,301,305       |
| 5      | Mr. Rajesh Sharma | Independent Dir | 0            | 434,449    | 0                             | 155,000 | 589,449         |

| S. No. | Name                | Designation     | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others    | Total<br>Amount |
|--------|---------------------|-----------------|--------------|------------|-------------------------------|-----------|-----------------|
| 6      | Mr. Vinodkumar H J  | Independent Dir | 0            | 651,673    | 0                             | 270,000   | 921,673         |
| 7      | Mr. Vilas M Auranga | Independent Dir | 0            | 217,224    | 0                             | 90,000    | 307,224         |
| 8      | Dr. Sunny Sharma    | Non Executive D | 0            | 362,040    | 0                             | 0         | 362,040         |
| 9      | Ms. Rajshree Patel  | Independent Dir | 0            | 434,449    | 0                             | 180,000   | 614,449         |
|        | Total               |                 | 0            | 5,430,606  | 0                             | 1,460,000 | 6,890,606       |

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
|  |  |               |  |                                |   |

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
|  |  |               |   |                        |                                   |

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SHIV HARI JALAN

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

4226

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. .. 18 dated 02/11/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

☒ Company Secretary

☐ Company secretary in practice

Membership number

19637

Certificate of practice number



**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

**Attach****Attach****Attach****Attach****List of attachments****Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

## Committee Meetings

| Sr.<br>No | Type of Meeting           | Date of Meeting | Total Number of Members as on the date of Meeting | Attendance       |                 |
|-----------|---------------------------|-----------------|---|------------------|-----------------|
|           |                           |                 |   | Members Attended | % of attendance |
| 11        | Risk Management Committee | 21/01/2022      | 6   | 4                | 66.67           |

\*Risk management Committee consists of Total 6 members out of which 4 are Directors and 2 members other than Directors which includes CFO and CS of the Company.

**Details of Foreign institutional investors' (FIIs) holding shares of the company**

| Sr. No | Name Of FII/FPI  | Address  | Date of Incorporation | Country Of Incorporation | No. of Shares held | % of shares held |
|--------|--|--|-----------------------|--------------------------|--------------------|------------------|
| 26     | BVK PERSONALVORSORGE DES KANTONS ZURICH                                    | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI  |                       |                          | 863                | 0.00             |
| 27     | EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO                           | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                                    |                       |                          | 8593               | 0.00             |
| 28     | ISHARES CORE MSCI EM IMI UCITS ETF   | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                                    |                       |                          | 112835             | 0.10             |
| 29     | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO                                 | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI                         |                       |                          | 12029              | 0.01             |
| 30     | STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI                                  |                       |                          | 14733              | 0.01             |
| 31     | UPS GROUP TRUST  | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI  |                       |                          | 22365              | 0.02             |
| 32     | ACTIVEALPHA CAPITAL  | KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 2ND FLR ZONE I, CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI |                       |                          | 3000               | 0.00             |
| 33     | COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT                           | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI  |                       |                          | 45110              | 0.04             |

|    |   |   |  |  |        |      |
|----|---|---|--|--|--------|------|
| 34 | DFA INTERNATIONAL VECTOR EQUITY FUND  | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI           |  |  | 3777   | 0.00 |
| 35 | GYMKHANA PARTNERS L.P.  | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 214500 | 0.19 |
| 36 | ISHARES MSCI EM SMALL CAP UCITS ETF   | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 14105  | 0.01 |
| 37 | ISHARES MSCI EMERGING MARKETS SMALL-CAP ETF                                 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 21574  | 0.02 |
| 38 | LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM                                | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 1113   | 0.00 |
| 39 | MARVAL GURU FUND  | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI           |  |  | 500000 | 0.45 |
| 40 | WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.                                 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 48905  | 0.04 |
| 41 | WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 14719  | 0.01 |
| 42 | ABDULMUHSIN SUHNI   | KARAAGAC MAH.TOM.CAD. ASILKENT SITESI NO.22 Z IC KAPI NO. Z, ADDRESS NO.1692127937 ARSUZ, HATAY, TURKEY |  |  | 500    | 0.00 |
| 43 | BOFA SECURITIES EUROPE SA   | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |  |  | 281    | 0.00 |

|    |   |   |  |  |        |      |
|----|---|---|--|--|--------|------|
| 44 | CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN                                      | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                               |  |  | 8985   | 0.00 |
| 45 | DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST                  | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                               |  |  | 2537   | 0.00 |
| 46 | DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND                                      | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI   |  |  | 7076   | 0.01 |
| 47 | EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC                           | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI   |  |  | 25103  | 0.00 |
| 48 | EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                               |  |  | 17436  | 0.02 |
| 49 | FIRST PRINCIPLES FUND ONE LP  | KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI |  |  | 161254 | 0.14 |
| 50 | NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING          | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                               |  |  | 44427  | 0.04 |
| 51 | PUB EQUITIES EMERGING MARKETS 2   | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI   |  |  | 12090  | 0.01 |

|    |   |   |  |  |        |      |
|----|---|---|--|--|--------|------|
| 52 | THE EMERGING MARKETS<br>SMALL CAP SERIES OF THE<br>DFA INVESTMENT TRUST<br>COMPANY      | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH<br>FLOOR, G BLOCK PLOT C-54 AND C-55, BKC<br>BANDRA - EAST, MUMBAI |  |  | 166705 | 0.15 |
| 53 | UBS PRINCIPAL CAPITAL<br>ASIA LTD   | STANDARD CHARTERED BANK Securities<br>Services, 3rd Floor 23-25 MAHATMA GANDHI<br>ROAD FORT, MUMBAI         |  |  | 205    | 0.00 |
| 54 | WORLD EX U.S. TARGETED<br>VALUE PORTFOLIO OF DFA<br>INVESTMENT DIMENSIONS<br>GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH<br>FLOOR, G BLOCK PLOT C-54 AND C-55, BKC<br>BANDRA - EAST, MUMBAI |  |  | 6791   | 0.01 |

**Note for field nos. VIII(B)(i)**

In the afore stated field, the number of shares held by the Mr. Vasant Rathi (Promoter) includes 75,00,000 equity shares held by Mr. Vasant Rathi on behalf of Vasant and Prabha Rathi Generation Trust and shown/clubbed basis PAN of Mr. Vasant Rathi.

**Combined notes for field nos. VI, VII and IX(A)**

In the afore stated fields, the total number of shareholders mentioned is clubbed based on PAN.

**Notes to field no. VI(C)**

Since the form accepts information of maximum 25 FIIs, remaining list of FIIs as mentioned in Point Number VI(C) of the Annual Return is annexed as an attachment to the eform

**Notes to field no. VI(A)**

The total number of Shareholders (Promoter) includes Promoter Group Members.

**Draft Form no. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I, Shiv Hari Jalan, Proprietor of Shiv Hari Jalan & Co., have examined the registers, records and books and papers of **ADVANCED ENZYME TECHNOLOGIES LIMITED** ("the Company") as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
  2. maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within and/or/ beyond the prescribed time;
  4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  5. closure of Register of Members;
  6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
  7. contracts/arrangements with related parties as specified in section 188 of the Act;
  8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
  9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;

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**Head Office:.** 1055, Level 10, Hubtown Solaris, N.S.Phadke Marg, Andheri (East), Mumbai - 400069

**Branch Office:.** 104, Mahavir Building, 44/46, Kalbadevi Road, Mumbai - 400002



**shivharijalancs@gmail.com,**



**:(022) 22075834, 22075835, 26836215, 9869035834**



10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company.

**Place: Mumbai**

**Date:**

**UDIN:**

**For Shiv Hari Jalan & Co.**

**Company Secretaries**

**FRN: S2016MH382700**

**(Shiv Hari Jalan)**

**Proprietor**

**FCS No: 5703**

**C.P.NO: 4226**

**PR No. 1576/2021**